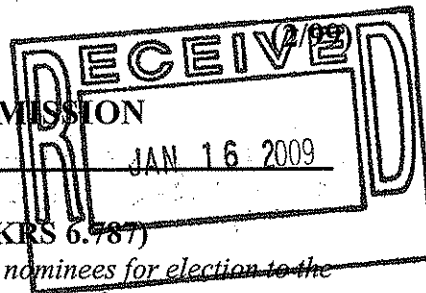


KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. \_\_\_\_\_ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Carl P. Rollins II  
Business address P.O. Box 798, FRANKFORT, Ky. 40602-0798  
Business telephone 502-696-7474  
Home address P.O. Box 424, 405 MERRYWOOD DR., MIDWAY, Ky. 40347  
Title of public position, or office sought STATE REPRESENTATIVE  
Other occupations of filer MARKETING MANAGER, Ky HIGHER ED. STUDENT LOAN CORP.  
Occupations of spouse REALTOR

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation MARKETING MANAGER, Ky HIGHER ED. STUDENT LOAN CORP.

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation REALTOR, ERA SHOW PLACE REALTY

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more SPOUSE'S 401K, HILLIARD LYONS, P.O. Box 32760, LOUISVILLE Ky. 40232; >\$10,000 AS OF 12/31/08 HILLIARD LYONS GOV'T FUND, CHEVRON CORP., ENBRIDGE INC., SEMPRA ENERGY, WASTE MANAGEMENT, SCHERING-PLough CORP., FIRST EAGLE FUNDS, Gold FUND, FIRST EAGLE FUNDS - INC GLOBAL FUND.

Sources and form of gross income of the filer (list sources by name)

SALARY, KENTUCKY HIGHER EDUCATION STUDENT LOAN CORP.  
SALARY FOR STATE REP., KY STATE TREASURER

Sources and form of gross income of the filer's spouse (list sources by name)

REAL ESTATE COMMISSIONS, ERA SHOW PLACE REALTY

Positions of a fiduciary nature in a business NONE

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children

VACATION HOME - 50 OCEANVIEW AVE., PONCE INLET, FL 32127  
RESIDENTIAL BUILDING LOT - 424 BARROWAY, VERSAILLES, KY 40383  
RESIDENTIAL BUILDING LOT - LOCUST GROVE SUBDIVISION, VERSAILLES, KY 40383

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) NONE

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) NONE

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

NONE

The names of any of the filer's clients who are legislative agents or employers

MURRAY STATE UNIVERSITY  
KCTCS

NORTHERN KENTUCKY UNIVERSITY

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

NONE

State Agency

NONE

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

1/14/09  
Date

  
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.